

**A Office of Enterprise Compliance Report - Matrix
December 2005**

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Personnel Update	Ongoing	<p>Recruitment and selection for the Executive Secretary position is ongoing.</p> <p>Chief Compliance Officer (CCO) submitted the duty statement for the Compliance Area Manager for Health position to Division of Human Resources for advertisement and recruitment. Selection of the Compliance Area Manager for Health is anticipated in the final quarter of 2005.</p> <p>CCO received approval to create Compliance Area Manager for Retirement position in fiscal year 2006-07.</p>
2. Program Activities	Ongoing	<p>CCO provided "Why Compliance is Important to You" presentation to Governmental Affairs staff.</p> <p>Division website is complete and will be available in December 2005 on the "Insider".</p> <p>Director's Guide to Sarbanes-Oxley Compliance for the Board of Administration.</p> <p>Annual Report on mandatory Ethics and Sexual Harassment Prevention training</p> <p>.</p>
3. Reporting Significant Non-Compliance Issues	January 2005	Ongoing.

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4. Regulatory Review	June 2005	Review of proposed rules, regulations and applicability to CalPERS on a monthly basis. Review conducted and no significant items to report for November 2005.
5. Compliance Area Manager - Investments	Ongoing	<p>Compliance Area Manager (CAM) is monitoring pre and post execution of trades daily. Weekly reporting on compliance summary to CCO. Monthly report of warning trends and patterns to Senior Portfolio Manager.</p> <p>CAM received access and training of fixed income portfolio management system. Compliance activities transferred to the Office of Enterprise Compliance and Legal Division from the Investment Office.</p>